



# WELWYN HATFIELD

Please note that by law this meeting can be filmed, audio-recorded, photographed or reported electronically by the use of social media by anyone attending. This does not apply to any part of the meeting that is held in private session.

Please ask for:  
Gurdip Paddan

13 October 2017

Dear Councillor

You are requested to attend a meeting of the WELWYN HATFIELD BOROUGH COUNCIL AUDIT COMMITTEE to be held on Monday 23 October 2017 at 7.30pm in the Council Chamber, Council Offices, The Campus, Welwyn Garden City, Herts, AL8 6AE

Yours faithfully

Executive Director  
Public Protection, Planning and Governance

## **AGENDA** **PART 1**

1. **SUBSTITUTION OF MEMBERS**

To note any substitution of Committee members made in accordance with Council Procedure Rules 19-22.

2. **APOLOGIES**

3. **MINUTES**

To confirm as a correct record the Minutes of the meeting held on 24 July 2017 (circulated separately).

4. **ACTIONS UPDATE**

There is nothing to report.

5. **NOTIFICATION OF URGENT BUSINESS TO BE CONSIDERED UNDER ITEM 13**

6. DECLARATIONS OF INTERESTS BY MEMBERS

To note declarations of Members' disclosable pecuniary interests, non-disclosable pecuniary interests and non-pecuniary interests in respect of items on this agenda.

7. PUBLIC QUESTION TIME AND PETITIONS

Up to fifteen minutes will be available for questions from members of the public on issues relating to the work of the Committee and to receive any petitions.

8. RISK MANAGEMENT (Pages 5 - 30)

Report of the Executive Director (Public Protection, Planning and Governance) asking Members to note the current strategic risks facing the Council.

9. SHARED INTERNAL AUDIT SERVICE (SIAS) ANNUAL REPORT (Pages 31 - 44)

Report of the Shared Internal Audit Service asking Members to note the Annual Report.

10. SHARED INTERNAL AUDIT SERVICE (SIAS) INTERNAL AUDIT PROGRESS REPORT (Pages 45 - 58)

Report of the Shared Internal Audit Service asking Members to note the Internal Audit Progress Report and to approve amendments to the Audit Plan.

11. ANNUAL AUDIT LETTER (Pages 59 - 82)

Report of Ernst & Young providing a summary of the findings from the 2016/17 audit of the Council.

12. LOCAL GOVERNMENT AUDIT COMMITTEE BRIEFING (Pages 83 - 94)

Report of Ernst & Young asking Members to note the Local Government Audit Committee Briefing.

13. SUCH OTHER BUSINESS AS, IN THE OPINION OF THE CHAIR, IS OF SUFFICIENT URGENCY TO WARRANT IMMEDIATE CONSIDERATION

14. EXCLUSION OF PRESS AND PUBLIC

The Committee is asked to resolve:

That under Section 100(A) (2) and (4) of the Local Government Act 1972, the press and public be now excluded from the meeting for item 15 (if any) on the grounds that it involves the likely disclosure of confidential or exempt information as defined in Section 100A (3) and Part I of Schedule 12A of the said Act as amended.

In resolving to exclude the public in respect of the exempt information, it is considered that the public interest in maintaining the exemption outweighs the public interest in disclosing the information.

## **PART II**

### **15. ANY OTHER BUSINESS OF AN EXEMPT NATURE AT THE DISCRETION OF THE CHAIR**

<b><u>Circulation:</u></b>	Councillors	N.Pace (Chairman)	H.Quenet
		J.Boulton	S.Roberts
		H.Bower	K.Thorpe
		G.Michaelides	

Executive Board  
Press and Public (except Part II Items)

**If you require any further information about this Agenda please contact Gurdip Paddan, Governance Service Unit on 01707 357349 or email – [democracy@welhat.gov.uk](mailto:democracy@welhat.gov.uk)**